

# **Appealing a College Decision Procedure**

## **Section 1 - Introduction**

### **Purpose and Parent Policy**

- (1) This procedure sets out the steps for an appeal of a decision of a decision-making body under the <u>Appeals Policy</u> in the 'Right of appeal' section.
- (2) Right to challenge a decision
- (3) Right of appeal
- (4) Students can appeal:
  - a. Decisions on summative assessment outcomes ratified by a Board of Examiners
  - b. Conduct of a review process
  - c. The decision of any college decision-making authority
  - d. A decision about the recognition of prior learning.
- (5) As indicated in the <u>Appeals Policy</u>, learners may choose from one of the two following options when seeking to challenge a decision specified in the <u>Appeals Policy</u>. This <u>Appealing a College Decision Procedure</u> describes the process for an appeal to a committee the second of these options:
  - a. A review is a request by a student that the Programme Director (or nominee acting on their behalf) reviews a provisional assessment result. It is conducted at programme level and addresses the marking of an assessment. It is based on one (or more) of the three grounds for review/appeal.
  - b. An appeal is where a student formally requests that the decision or judgement of a lower authority is referred to a higher authority (ie. The Appeals Committee ). The purpose of the appeal is to consider the decision in light of concerns expressed and evidence provided by the student. The concerns must be based on one (or more) of the three grounds for review/appeal.

#### Responsibilities

#### **Student Responsibilities**

(6) The student is responsible for initiating the procedure within the defined timeframe and providing all relevant evidence.

#### **Staff Responsibilities**

- (7) All decision-makers are required to implement their decisions with timeliness and clarity.
- (8) Students must receive clear communications from the relevant Staff members.

## **Section 2 - Procedure**

## Part A - Procedure for Appeal of a College Decision

#### **Submitting an Appeal**

- (9) The student submits an appeal to the Assessments and Awards Office of the Department of the Registrar using the appropriate form.
- (10) A valid application includes:
  - a. The completed application form
  - b. Verifiable supporting evidence which addresses the appeal criteria
  - c. Payment of the relevant fee, where appropriate
- (11) The appeal application must be submitted within five working days of the communication of the decision by the decision-making body.
- (12) The student may seek to present to an Appeals Committee and provide a rationale for so doing. On review of the evidence provided, the Academic Dean shall decide if there is merit in the student attending.

#### Assessment of the Validity of the Appeal

- (13) The Academic Dean assesses the appeal to establish if it meets the grounds for a valid appeal.
- (14) Where required, the Academic Dean seeks an investigation of the factual accuracy of the student's assertions, which includes input from the original decision-making body.
- (15) If the appeal submission is complete and the Academic Dean deems that the grounds are valid, a meeting of the Appeals Committee is convened.
- (16) If the appeal submission is complete and the Academic Dean deems that there are no valid grounds, the appeal is rejected and not brought to the Appeals Committee.

#### **Notification to the Student**

- (17) The Academic Dean notifies the student in writing of the decision and the rationale for it.
- (18) Where the student has requested that they attend the meeting, the decision of the Academic Dean whether to grant this request or not is also communicated to the student.
- (19) Where an Appeals Committee meeting is convened, the student:
  - a. Is notified a minimum of five working days in advance of the Appeals Committee meeting
  - b. May submit an additional statement in writing to the Appeals Committee
  - May seek to make representation in person to the Appeals Committee (The purpose of this is to provide clarification of any evidence provided to the committee. New evidence cannot be introduced during the Appeals Committee meeting.)
  - d. Is provided with a copy of all material provided to the Appeals Committee

#### **Remit of the Appeals Committee**

(20) The Appeals Committee comprises three persons drawn from the panel established by the Academic Board for

this purpose, as specified in the Appeals Policy.

- (21) The Appeals Committee meets to agree the findings and to determine a fair resolution.
- (22) The Appeals Committee considers the case based on the grounds for appeal as set out by the student in their application for an appeal and no other criteria.
- (23) The Appeals Committee, at its sole discretion, may invite any person who may have evidence or information to support the committee in reaching a decision to attend.

#### **Right to Information**

- (24) The student is advised of any persons the Appeals Committee meets and is entitled to receive any information or evidence provided by that party which is to be considered by the Appeals Committee.
- (25) The student and any other party to an appeal has the right to receive any reports provided to the Appeals Committee generated as part of an investigatory process or decision-making process.

#### **Possible Outcomes**

- (26) The possible outcomes are:
  - a. The appeal is upheld.
  - b. The appeal is denied.
- (27) Where an appeal is upheld, due consideration should be given to any practical implications and appropriate communications with staff and students relating to the upholding of this appeal.
- (28) If necessary, an Appeals Committee may adjourn any hearing to consult with the Academic Dean or take legal advice where required.

#### **Decision-Making**

- (29) Ideally, the decision of the Appeals Committee should be unanimous but, at a minimum, it should be a majority of one.
- (30) A formal record of the decision, the rationale for the decision, and evidence used to support the decision is maintained by the AAO office.

#### **Notification of Decision**

- (31) The Academic Dean notifies the following parties in writing of the Appeals Committee decision and the rationale for the decision:
  - a. The student
  - b. The Registrar
- (32) The Academic Dean will also notify all relevant departments to ensure all records are amended to reflect the committee's decision.

#### **Conclusion of the Process**

(33) There is no further appeals process available. The process concludes at this point.

#### **Status and Details**

Status	Current
Effective Date	12th June 2023
Review Date	12th June 2026
Approval Authority	Quality Assurance Officer
Approval Date	12th June 2023
Expiry Date	Not Applicable
Enquiries Contact	Quality Assurance

### **Glossary Terms and Definitions**

"**Procedure**" - Procedures are the broad actions that must be carried out to implement a policy. They set out 'how to do' what the policy specifies must be done.